

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 1

**ADE & COMPANY INC.  
PATENT AND TRADEMARK AGENTS**

Adrian D. Battison, B.Sc.  
(F.C.I.P.A. (London))

Michael R. Williams.  
Ryan W. Dupuis

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1795 Henderson Highway  
Winnipeg, Manitoba  
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OCT 24 2006

82402-6402

**FACSIMILE COVER LETTER**

**PLEASE DELIVER THE FOLLOWING PAGES TO:**

**NAME: Commissioner of Patents – Darrell C. Cottman**

**FROM: Ade & Company Inc.- Michael R. Williams**

**TOTAL NUMBER OF PAGES 6 INCLUDING THIS COVER LETTER.**

**DATE: October 24, 2006**

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**RE: United States Patent Application no: 10/564,679  
Dissolving Film Comprising a Therapeutically Active Agent  
Within the Film or in a Pouch forwarded by the Film  
Jung Han  
EXAMINER –Darrell C. Cottman GROUP**

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**Response/amendment to Notification of Missing Requirements under 35U.S.C. 371  
in United States Designated/elected office dated September 12, 2006**

**IF ALL PAGES ARE NOT RECEIVED OR ANY ARE NOT LEGIBLE. PLEASE CALL AS SOON AS POSSIBLE.**

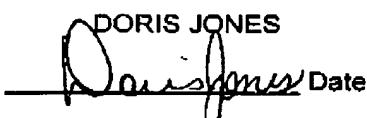
**TELEPHONE (204)-947-1429**

**FACSIMILE (204)-942-5723**

**OPERATOR: DORIS JONES**

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DORIS JONES  
 Date

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 2

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)</b>				Docket No. <b>82402-6402</b>	
In Re Application Of: <b>Jung Han</b>					
Application No. <b>10/564,679</b>	Filing Date <b>12/22/2003</b>	Examiner <b>Darrell C. Cottman</b>	Customer No. <b>23529</b>	Group Art Unit	Confirmation No. <b>1704</b>
Invention: <b>DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM OR IN A POUCH FORWARDED BY THE FILM</b>					
<b>RECEIVED CENTRAL FAX CENTER</b>					
<b>OCT 24 2006</b>					
<u>Mail Stop Missing Parts</u> <u>COMMISSIONER FOR PATENTS:</u>					
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>09/12/2006</u> <u>Date</u>					
Enclosed herewith for filing are the following:					
<input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b>					
<input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.					
<input checked="" type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63.					
<input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.					
<input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.					
<input type="checkbox"/> _____ verified small entity declaration(s)					
<input type="checkbox"/> is/are attached.					
<input type="checkbox"/> was/were filed on _____					
<input type="checkbox"/> A separate request for refund.					
<input type="checkbox"/> Other (list):  <div style="border: 1px solid black; height: 100px; width: 100%;"></div>					

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 3

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Small Entity)</b>			Docket No. 82402-6402		
<b>In Re Application Of:</b> <b>Jung Han</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/564,679	12/22/2003	Darrell C. Cottman	23529		1704
<b>Invention: DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM OR IN A POUCH FORWARDED BY THE FILM</b>			<b>RECEIVED CENTRAL FAX CEN</b>  <b>OCT 24 2004</b>		
<u><b>TO THE COMMISSIONER FOR PATENTS:</b></u> <u><b>Mail Stop Missing Parts</b></u>					
<input type="checkbox"/> Completion of application fees as calculated below:  <input type="checkbox"/> Utility application basic fee _____ <input type="checkbox"/> Design application basic fee _____ <input type="checkbox"/> Search Fee _____ \$0.00 <input type="checkbox"/> Examination Fee _____ \$0.00 <input type="checkbox"/> Total number of independent claims = _____ <input type="checkbox"/> Total number of claims = _____ <input type="checkbox"/> Multiple dependent claims _____ <input type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath _____ <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor _____ <input type="checkbox"/> Fee for processing an application filed with a non-English language specification _____ <input type="checkbox"/> Fee for processing and retention of application _____  <b>Total completion of application fees</b> _____					
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.					
<input type="checkbox"/> One month <input type="checkbox"/> Two months <input type="checkbox"/> Three months <input type="checkbox"/> Four months <input type="checkbox"/> Five months					
from: _____ <i>Date</i>			until: _____ <i>Date</i>		
<b>Total time extension fees</b> _____					
<b>Total fees due</b> _____					

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 4

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Small Entity)</b>		Docket No. <b>82402-6402</b>
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In Re Application Of: **Jung Ilan**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/564,679	12/22/2003	Darrell C. Cottman	23529		1704

Invention: **DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM  
OR IN A POUCH FORWARDED BY THE FILM**

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OCT 24 2006

**TO THE COMMISSIONER FOR PATENTS:**

**Mail Stop Missing Parts**

The fee of \_\_\_\_\_ is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. \_\_\_\_\_.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. \_\_\_\_\_.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
\_\_\_\_\_  
*Michael R. Williams*  
*Signature*

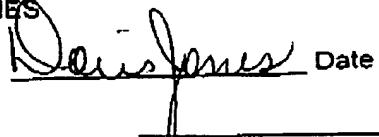
Dated: **October 24, 2006**

**Michael R. Williams  
Registration 45333**

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**DORIS JONES**

  
*Doris Jones* Date

cc:

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 5

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/564,679	Jung Han	82402-6402
INTERNATIONAL APPLICATION NO.		
PCT/CA03/01958		
IA. FILING DATE	PRIORITY DATE	
12/22/2003	12/26/2002	
CONFIRMATION NO. 1704		
371 FORMALITIES LETTER		
*OC000000020385592*		

Date Mailed: 09/12/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006

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SEP 26 2006

ADE & COMPANY INC.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 6

Page 2 of 2

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Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

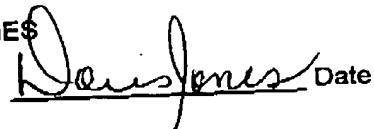
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/564,679	PCT/CA03/01958	82402-6402

FORM PCT/DO/EO/905 (371 Formalties Notice)

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